



T. Nicholas Nocita, CPA, Senior Consultant

Overland Consulting | 5407 West 124th Court St | Overland Park, KS 66209 | 913-599-3323
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GENERAL

Experienced audit professional responsible for planning, directing and reporting internal audit projects for a Fortune 500 corporation. Managed international staff on complex audit engagements that resulted in improvements to internal controls, enhancements to operating processes, and demonstrable cost reductions or revenue enhancements.

PROFESSIONAL WORK HISTORY

Overland Consulting *2018 – Present*
Senior Consultant

Assists in conducting regulatory audits and valuation studies of electric, gas, railroad, and telecommunications companies.

Kansas City Southern *1998 – 2018*
Internal Audit Director
Internal Audit Manager

Managed internal audit projects for an international rail transportation company with annual revenues in excess of \$2.5 billion dollars.

McBride, Lock and Associates *1989 – 1998*
Audit Manager
Senior Audit Associate

Planned and executed audits of federal, state, and local governmental entities, as well as not-for-profit institutions.

PROFESSIONAL EXPERIENCE

INTERNAL AUDIT

- Developed and executed audit plan for Mexican subsidiary KCS de Mexico, managing a five-person audit team.
- Led operational audits across all KCS subsidiaries, including Panama Canal Railway. Audits in mechanical, transportation, construction, vendor management, and equipment control functions resulted in average cost savings of \$1 - \$2 million annually.
- Participated in annual audit risk assessments and development of the annual audit plan.
- Developed and implemented the Company' enterprise risk management program, including the documentation, methodology, and reporting of risk to senior executives and the board of directors.
- Incorporated data analytics into audit methodologies, resulting in identification of enhanced cost savings opportunities.

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- Implemented the Company's Sarbanes-Oxley compliance process and directed annual controls testing. Coordinated testing program with external auditors.
 - Thought leadership in corporate governance, including management of the Company's delegation of authority process and updates to the Audit Committee manual.

EXTERNAL AUDIT

- Executed financial and performance audits in accordance with Generally Accepted Government Auditing Standards.
- Performed financial audits of nonprofit organizations, including compliance auditing under OMB Circular A-133 for organizations receiving federal financial support.

PROFESSIONAL CERTIFICATIONS

Certified Public Accountant – Missouri Certificate No. 13941; Kansas Certificate No. 6686

EDUCATION

Henry W. Bloch School of Management, University of Missouri – Kansas City

Masters of Business Administration, 2008

- Executive MBA program graduate; 3.9/4.0 grade point average
- Beta Gamma Sigma honors society member

University of Kansas, Lawrence, KS

Bachelors of Science in Business Administration and Accounting, 1989